SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287								
Estimated average burden								
hours per response:	0.5							

Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Disposed Of (D) (Instr. 3, 4 and 5) Securit Benefic Owned		5. Amount of Securities Beneficially Owned Followin	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
		Table I - Noi	n-Derivative S	ecurities Acq	uired, Dis	posed of, or Benef	icially	v Owned			
(City)	(State)	(Zip)						Form filed by More than One Reporting Person			
(Street) HOUSTON TX 77002				mendment, Date of	f Original Filed	I (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Last) 1111 LOUISIA	(First)	(Middle)		te of Earliest Transa 5/2022	action (Month/	Day/Year)	X	below)	below) EVP & CFO		
1. Name and Address of Reporting Person* <u>Wells Jason P.</u>				uer Name and Tick NTERPOINT		Symbol <u>Y INC</u> [CNP]	(Checl	ationship of Rep k all applicable) Director Officer (give t		Issuer Owner (specify	
Instruction 1(b				pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940							
Obligations may continue. See								11 no	ours per response:	0.5	

e	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transa Code (8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Da (Month/Day/Y	Amou Secur Unde Deriv Secur 3 and	rities rlying ative rity (Instr.	Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)
									Amount	1		

Code V

Α

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Explanation of Responses:

Common Stock

1. Title of 2.

Derivative

Security (Instr. 3)

1. Time-based restricted stock units ("RSUs") awarded under the Issuer's Long-Term Incentive Plan (the "Plan") and vesting (i) in February 2025 if the Reporting Person ("R.P.") continues to be an employee of Issuer from the grant date through the vesting date, (ii) in the event of his earlier disability or death, or (iii) on a pro-rata basis upon his earlier retirement unless he satisfies various conditions for full vesting, provided, however, that any such vesting is conditioned upon positive operating income in the last full calendar year of the restricted period except in the case of death or disability. 2. Includes 26,288 RSUs awarded under the Plan and vesting in September 2022. R.P. must remain an Issuer employee through vesting dates. Also, includes 25,631 RSUs awarded under the Plan and vesting in September 2023 if (i) R.P. remains an Issuer employee date, (ii) in event of earlier disability or death, or (iii) on pro-rata basis upon earlier retirement unless full vesting

Date

Exercisable

(D)

(A)

vesting in September 2023 if (i) R.P. remains an Issuer employee through vesting date, (ii) in event of earlier disability or death, or (iii) on pro-rata basis upon earlier retirement unless full vesting conditions satisfied. Also, includes 19,209 RSUs awarded under the Plan and vesting (i) in February 2024 if R.P. remains an Issuer employee through vesting date, (ii) in event of earlier disability or death, or (iii) on pro-rata basis upon earlier retirement unless full vesting conditions satisfied; provided, vesting is conditioned on positive operating income in last full calendar year of restricted period except in case of death or disability.

Remarks:

By Vincent A. Mercaldi,

Attorney-in-Fact

Number

Shares

of

Title

(A) or (D)

Α

Price

\$0

Amount

5. Number 6. Date Exercisable and 7. Title and

16,154(1)

Expiration

Date

Transaction(s)

(Instr. 3 and 4)

114,744⁽²⁾

8. Price of 9. Number of

D

10.

11. Nature

of Indirect

Beneficial Ownership (Instr. 4)

02/17/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

3. Transaction

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

02/15/2022

4.

Code

3A. Deemed

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

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