SEC Form 4

FORM 4

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INITED STATES SECURITIES	AND EXCHANGE COMMISSION
	- D.O. 00510

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bu	urden
hours per response:	0.5

STATEMENT OF	CHANGES IN	BENEFICIAL	OWNERSHIP
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

		g Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>CENTERPOINT ENERGY INC</u> [CNP]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
(Last) 1111 LOUISL			3. Date of Earliest Transaction (Month/Day/Year) 02/20/2024	X Officer (give title Other (specify below) below) SVP Natural Gas Business
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)
(Street) HOUSTON	TX	77002		X Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication	
			Check this box to indicate that a transaction was made pursuar satisfy the affirmative defense conditions of Rule 10b5-1(c). Se	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150. 4)
Common Stock	02/20/2024		A		7,431(1)	A	\$ <mark>0</mark>	29,653	D	
Common Stock	02/20/2024		F		1,998(2)	D	\$27.79	27,655	D	
Common Stock	02/20/2024		F		336(3)	D	\$27.79	27,319(4)	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv	r osed) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ate	Amou Secu Unde Deriv	rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Vesting of performance shares awarded in 2021 under the Issuer's Long-term Incentive Plan (the "Plan").

2. Shares withheld for taxes upon vesting of performance shares.

3. Shares withheld for taxes upon vesting of time-based restricted stock units ("RSUs").

4. Total includes (i) 2,134 RSUs previously awarded under the Plan and vesting in February 2025, (ii) 5,407 RSUs previously awarded under the plan and vesting in February 2026, and (iii) 6,243 RSUs previously awarded under the Plan and vesting in three equal installments in February 2025, 2026 and 2027. The above awards shall vest (a) if he continues to be an employee of Issuer from the grant date through the respective vesting date, (b) in the event of his earlier disability or death, or (c) if he satisfies various conditions, upon his earlier retirement, except that such retirement vesting will be on a pro rate basis (i) and (ii) above, he fails to meet the conditions for full vesting, or, for the award under clause (ii), his retirement of positive operating income for the year preceding the applicable vesting date except in the case of death or disability.

Remarks:

Vincent A. Mercaldi, Attorney-in-Fact

02/22/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).